

## UNITED STATES PATENT AND TRADEMARK OFFICE

## UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

Paper No.

20311 c 01/14/2009 LUCAS & MERCANTI, LLP 475 PARK AVENUE SOUTH

15TH FLOOR NEW YORK, NY 10016

Application No.:	10/538,697	Date Mailed:	01/14/2009
First Named Inventor:	Kauss, Wolfgang,	Examiner:	LAZO, THOMAS E
Attorney Docket No.:	246.1001	Art Unit:	3745
Confirmation No.:	6955	Filing Date:	11/03/2006

Please find attached an Office communication concerning this application or proceeding.

## Application No. Applicant(s) 10/538,697 KAUSS ET AL. Failure to Acceptably Respond to Notice of Non-Compliant Amendment (37 CFR 1.121) Art Unit No New Time Period for Reply is Provided 3600

The amendment document filed on 12 November, 2008 fails to provide the corrective action required by the prior Notice of Non-Compliant Amendment (37 CFR 1.121) mailed on 04 November, 2008. The amendment, including both the originally filed amendment and the amendment filed in response to the prior notice, is still considered to be non-compliant under 37 CFR 1.121. In order for the amendment document to be compliant, correction of the item(s) listed below is required. Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims"

corrections listed below must be timely filed to avoid abandonment of the application. No new time period for reply is provided in this communication. See the Manual of Patent Examining Procedure (MPEP) § 714.03.  If the period for reply set forth in the prior Notice of Non-Compliant Amendment has expired, this application will become abandoned unless applicant; (1) corrects the deficiency, and (2) obtains an extension of time under 37 CFR 1.136(a). In no case may an applicant reply outside the SIX (6) MONTH statutory period or obtain an extension for more than FIVE (5) MONTHS beyond the date for reply set forth in the prior Notice of Non-Complant Amendment (37 CFR 1.121).  THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:    1. Amendments to the specification:    A. Amendments to the specification:    A. Amended paragraph(s) do not include markings.    B. New paragraph(s) should not be underlined.    C. Other      A. Not presented on a separate sheet. 37 CFR 1.72.    B. Other      3. Amendments to the drawings:    4. Amendments to the drawings:    A. A complete listing of all of the claims is not present.    B. The listing of claims does not include the text of all pending claims (including withdrawn claims)    C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).    D. The claims of this amendment paper have not been presented in ascending numerical order.   E. Other: New claims list is not complet.	section of applicant's amendment document must be re-submitted. 37 CFR 1.121(h).		
abandoned unless applicant: (1) corrects the deficiency, and (2) obtains an extension of time under 37 CFR 1.136(a). In no case may an applicant reply outside the SIX (6) MONTH statutory period or obtain an extension for more than FIVE (5) MONTHS beyond the date for reply set forth in the prior Notice of Non-Compliant Amendment (37 CFR 1.121).  THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:    1. Amendments to the specification:   A. Amendments to the specification:   A. Amended paragraph(s) do not include markings.   B. New paragraph(s) should not be underlined.   C. Other     2. Abstract:   A. Not presented on a separate sheet. 37 CFR 1.72.   B. Other     3. Amendments to the drawings:   A. A. a complete listing of all of the claims is not present.   B. The listing of claims does not include the text of all pending claims (including withdrawn claims)   C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).   D. The claims of this amendment paper have not been presented in ascending numerical order.   S. E. Other: New claims list is not complet.	The period for reply continues to run from the mailing date of the prior Notice of Non-Compliant Amendment. The corrections listed below must be timely filed to avoid abandonment of the application. No new time period for reply is provided in this communication. See the Manual of Patent Examining Procedure (MPEP) § 714.03.		
□ 1. Amendments to the specification:         □ A. Amended paragraph(s) do not include markings.         □ B. New paragraph(s) should not be underlined.         □ C. Other         □ C. Other         □ A. Not presented on a separate sheet. 37 CFR 1.72.         □ B. Other         □ A. Mot presented on the drawings:         ☑ 4. Amendments to the drawings:         ☑ 5. A. A complete listing of all of the claims is not present.         □ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)         □ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).         □ D. The claims of this amendment paper have not been presented in ascending numerical order.         ☑ E. Other: New claims list is not complet.         For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf.	If the period for reply set forth in the prior Notice of Non-Compliant Amendment has expired, this application will become abandoned unless applicant: (1) corrects the deficiency, and (2) obtains an extension of time under 37 CFR 1.136(a). In no case may an applicant reply outside the SIX (6) MONTH statutory period or obtain an extension for more than FIVE (5) MONTHS beyond the date for reply set forth in the prior Notice of Non-Compliant Amendment (37 CFR 1.121).		
□ A. Amended paragraph(s) do not include markings.         □ B. New paragraph(s) should not be underlined.         □ C. Other         □ C. Other         □ A. Not presented on a separate sheet. 37 CFR 1.72.         □ B. Other         □ A. Amendments to the drawings:         ☑ 4. Amendments to the claims:         ☑ A. A complete listing of all of the claims is not present.         □ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)         □ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note; the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).         □ D. The claims of this amendment paper have not been presented in ascending numerical order.         ☑ E. Other: New claims list is not complet.         For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf.	THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:		
□ B. New paragraph(s) should not be underlined.         □ C. Other         □ 2. Abstract:         □ A. Not presented on a separate sheet. 37 CFR 1.72.         □ B. Other         □ 3. Amendments to the drawings:         ☑ 4. Amendments to the claims:         ☑ A. A complete listing of all of the claims is not present.         □ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)         □ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).         □ D. The claims of this amendment paper have not been presented in ascending numerical order.       ☑ E. Other: New claims list is not complet.         For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf</a> .	□ 1. Amendments to the specification:		
□ C. Other         □ 2. Abstract:         □ A. Not presented on a separate sheet. 37 CFR 1.72.         □ B. Other         □ 3. Amendments to the drawings:         ☑ 4. Amendments to the claims:         ☑ A. A complete listing of all of the claims is not present.         □ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)         □ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).         □ D. The claims of this amendment paper have not been presented in ascending numerical order.         ☑ E. Other: New claims list is not complet.         For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf</a> .	☐ A. Amended paragraph(s) do not include markings.		
□ 2. Abstract: □ A. Not presented on a separate sheet. 37 CFR 1.72. □ B. Other . □ 3. Amendments to the drawings: □ 4. Amendments to the claims: □ A. A complete listing of all of the claims is not present. □ B. The listing of claims does not include the text of all pending claims (including withdrawn claims) □ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered). □ D. The claims of this amendment paper have not been presented in ascending numerical order. □ E. Other: New claims list is not complet .  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf</a> .	☐ B. New paragraph(s) should not be underlined.		
A. Not presented on a separate sheet. 37 CFR 1.72.   B. Other .   3. Amendments to the drawings:   4. Amendments to the drawings:   4. Amendments to the claims:   A. A complete listing of all of the claims is not present.   B. The listing of claims does not include the text of all pending claims (including withdrawn claims)   C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).   D. The claims of this amendment paper have not been presented in ascending numerical order.   E. Other: New claims list is not complet.   For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf.	☐ C. Other .		
□ B. Other □ 3. Amendments to the drawings: □ 4. Amendments to the claims: □ 4. A complete listing of all of the claims is not present. □ B. The listing of claims does not include the text of all pending claims (including withdrawn claims) □ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered). □ D. The claims of this amendment paper have not been presented in ascending numerical order. □ E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf</a> .	□ 2. Abstract:		
□ 3. Amendments to the drawings:  □ 4. Amendments to the claims:  □ A. A complete listing of all of the claims is not present.  □ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)  □ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).  □ D. The claims of this amendment paper have not been presented in ascending numerical order.  □ E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf</a> .	☐ A. Not presented on a separate sheet. 37 CFR 1.72.		
<ul> <li>         △ A. A complete listing of all of the claims is not present.</li></ul>	☐ B. Other .		
<ul> <li></li></ul>	☐ 3. Amendments to the drawings:		
B. The listing of claims does not include the text of all pending claims (including withdrawn claims)  C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).  D. The claims of this amendment paper have not been presented in ascending numerical order.  E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/ver.pdf</a> .	■ 4. Amendments to the claims:		
☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).  ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.  ☐ E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf</a> .	☑ A. A complete listing of <u>all</u> of the claims is not present.		
claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).  D. The claims of this amendment paper have not been presented in ascending numerical order.  E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf</a> .	☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)		
one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).  D. The claims of this amendment paper have not been presented in ascending numerical order.  E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pae/dapp/opla/preognotice/officet/yer.pdf">http://www.uspto.gov/web/offices/pae/dapp/opla/preognotice/officet/yer.pdf</a> .	C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each		
presented), (New) and (Not entered).  D. The claims of this amendment paper have not been presented in ascending numerical order.  E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officetlyer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officetlyer.pdf</a> .	claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using		
□ D. The claims of this amendment paper have not been presented in ascending numerical order.  □ E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officetlyer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officetlyer.pdf</a> .	one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously		
∑ E. Other: New claims list is not complet.  For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf</a> .	presented), (New) and (Not entered).		
For further explanation of the amendment formar required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf</a> .	$\square$ D. The claims of this amendment paper have not been presented in ascending numerical order.		
http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf	☑ E. Other: New claims list is not complet.		
Supervisory Legal Instruments Examiner (SLIE): /Wanda A. Brown/	For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officet/yer.pdf</a> .		
	Supervisory Legal Instruments Examiner (SLIE): /Wanda A. Brown/		